



### Child Development Home Complaint

Name of Provider Laurie Wheatley	County Emmet	
Care Address 909 N. 8 <sup>th</sup> St.	City Estherville	Zip Code 51334
Mailing Address 909 N. 8 <sup>th</sup> St.	City Estherville	Zip Code 51334
Phone 712-362-4010	Email	

**Date of Complaint:** November 7, 2014

**Date of Visit:** November 14, 2014

- Scheduled       Unannounced       N/A  
 Non-Compliance with Regulations Found       Compliance with Regulations Found  
 N/A

**RECOMMENDATION FOR REGISTRATION:**

- NO CHANGES to registration status recommended  
 REVOCATION of Registration

**CATEGORY OF CARE:**

- Category A  
 Category B  
 Category C (with no co-provider)  
 Category C (with co-provider)

**Summary of Complaint:**

It was reported to DHS that a child Laurie had been caring for had linear bruises that were seen on the child's left cheek which resembled finger marks. The marks appeared as though someone had slapped the child.

**Rule Basis and Findings of Complaints:**

441 IAC 110.5(6)a Discipline      Corporal punishment including spanking, shaking and slapping is not used.

**Findings:**

Laurie denied using any type of physical discipline regarding any of the day care children in her home. She denied spanking, shaking or slapping them. She stated she uses time out for the older children.

The Estherville Police Department met with Laurie on 11-07-14, observed the injury and photos were taken of an injury to the left cheek. This caseworker observed the photos that were taken of the child's face.

Despite Laurie's denial of physical discipline with any of the children she provides care for, a review of agency records verified that the complaint as identified did occur.

This is not in compliance with rules.

441 IAC 110.5(1)n Conditions in the home are safe, sanitary and free of hazards. Each smoke detector is tested monthly and a record is kept for inspection purposes.

Findings:

Laurie stated she tests the smoke detectors monthly but could not locate the written record. This is not in compliance with rules.

110.5(8)Children's files. An individual file is maintained for each child and updated annually or when there are changes.

Findings:

Two of the children's files (one of whom was no longer receiving day care in the home) did not have the following information in their files: identifying information; emergency information; a signed medical consent from the parent authorizing emergency treatment; a physical exam on the first day of attendance; a list signed by the parent which names persons authorized to pick up the child, their phone number, and relationship to the child; a signed and dated immunization certificate. Two additional children school-age children had physical exams in their files but did not have annual statement of health condition forms signed by the parents.

This is not in compliance with rules.

441 IAC 110.5(9) Provider. The provider shall meet the following requirements: a. give careful supervision at all times; b. exchange information with the parent of each child frequently to enhance the quality of care; c. give consistent dependable care and be capable of handling emergencies.

Findings:

Laurie stated she was a little bit overwhelmed on 11-07-14 This information was contradictory to the information provided to this caseworker on 11-14-14 by the Child Care Resource and Referral employee. The employee had been in the home on 11-07-14 at which time Laurie admitted to being "burned out and overwhelmed". This was reported during hours of day care operation.

Also on 11-14-14 Laurie reported to this caseworker that she was a little overwhelmed on 11-07-14 but did not get upset or strike a child. She did not admit to being burned out.

Laurie reported there were no other adults in her home on 11-07-14. However, the Child Care Resource and Referral employee was in the home on that date. Laurie did not accurately report who was in her home.

This is not in compliance with rules.

**Resolution and Action Required:**

Laurie was given verbal notice (and written notice as per a letter dated 11-18-14) to comply with correcting the areas out of compliance which were noted during this caseworkers visit to the home on 11-14-14. All of the violations were to be corrected by 01-02-15. Laurie did return the letter on 12-11-14 noting she has a record of the smoke detector being tested. Regarding Identifying Information forms, emergency information forms, signed medical consent forms, admission physical exams, a list signed by the parent which names persons authorized to pick up the child, immunization forms and written permission to attend activities for two preschool children, she noted she did not have this information as she was no longer caring for these children. She noted she did have the annual statement of health condition forms signed by the parent regarding two school- aged children. When this caseworker made a visit to the home on 12-12-14 Laurie was in compliance with having the two health statements for school age children in each child's file. She also had a record of when the smoke detector was tested.

There was an injury to the child. The Estherville Police Department completed an investigation and pictures of the injury were taken. Laruie denied using any type of physical discipline, including spanking, shaking or slapping, however a review of agency records confirm the alleged complaint did occur.

Laurie did not accurately report who was in her home on 11-07-14. A Child Care Resource and Referral employee verified that she was in the home on that date. Also she gave contradictory reports as to being burned out and overwhelmed. She made the statement to the Child Care Resource and Referral employee (on 11-07-14) that she was burned out and overwhelmed. She later reported to this caseworke (on 11-14-14) that she was a little bit overwhelmed. This information does not indicate that she recognizes the amount of stress she is experiencing which would effect her ability to give careful supervision and give consistent dependable care as per 441 IAC 110.5(9)

Based upon all findings, registration revocation is recommended because the provider operates in a manner the Department determines impairs the safety, health, or well-being of the children in care.

Consultant's Signature <i>Jan Johnson, SWTT</i>	Date 12/19/14
Supervisor's Signature <i>Anne Mathias, SWFS</i>	Date 12/19/14